

Dodd Financial Services

3340 Shavers Lake Road, Wayzata, MN 55391

2019 Class Schedule

(Please scroll down to see all of the classes [SIE, Series 4, Series 6, Series 7, Series 9/10, Series 24, Series 66] offered)

- To register for a class please send order/register form to email: doddfinance@aol.com
- Questions? Please call Dodd Financial Services at 952.886.4514.
- Note: All live in-person classes are conducted at the Sheraton Hotel in Bloomington, MN unless otherwise specified. Please call the Sheraton Hotel for room reservations and directions, if necessary, at 952.835.1900.

CLASSES

Securities Industry Essentials (SIE Exam)

\$275*

*includes class tuition, textbook, and Smart online testing bank

TWO CHOICES

1. LIVE IN-PERSON CLASS

This is a two and one-half day class conducted from 8 am to 5 pm CT with the last day from 8 am to noon CT.

Jan 16-18 March 6-8 April 24-26 June 12-14 July 24-26 Sept 4-6
Oct 16-18 Dec 4-8

2. LIVE VIRTUAL CLASS CONDUCTED AS A PODCAST

This is a three week class called "CYCLES" conducted Monday through Wednesday from 4 pm to 6 pm CT.

CYCLE 1: Feb 11-13, Feb 18-20, Feb 25-27
CYCLE 2: May 13-15, May 20-22, May 27-29
CYCLE 3: Aug 5-7, Aug 12-14, Aug 19-21
CYCLE 4: Nov 4-6, Nov 11-13, Nov 18-20

SERIES 4 Registered Options Principal

\$375*

*Includes class tuition, textbooks, and online testing databank

THIS IS A LIVE IN-PERSON CLASS

This is a one day class that is conducted from 8 am to 5 pm and covers only Chapter One, Section One titled Option Strategies.

March 21 July 11 Oct 31

SERIES 6 Investment Company Representative aka Series 6 "Topper" exam

\$250*

*Includes class tuition, textbooks, and Smart 6 online testing bank

THIS IS A LIVE VIRTUAL CLASS

This is a three day class that is conducted from 11 am to 3 pm CT.

PLEASE CALL FOR CLASS DATES

SERIES 7 General Securities Representative aka Series 7 "Topper" exam

\$375*

*Includes class tuition, textbooks, and Smart 7 online testing bank

TWO CHOICES

1. LIVE IN-PERSON CLASS

This is a three and one-half day class conducted from 8 am to 5 pm CT with the last day from 8 am to noon CT

Jan 21-24 March 11-14 April 29-May 2 June 17-20 July 29-Aug 1
Sept 10-13 Oct. 21-24 Dec 9-12

2. LIVE VIRTUAL CONDUCTED AS A PODCAST

This is a three days a week class for two weeks called "CYCLES". Each day is 8 am to noon CT.

CYCLE 1: March 18-20, March 25-27
CYCLE 2: June 24-26, July 1-3
CYCLE 3: Sept. 23-25, Sept 30-Oct 2

SERIES 9/10 General Sales Supervisor aka Branch Manager

\$775*

*Include class tuition, textbooks, and online testing databank

THIS IS A LIVE IN-PERSON CLASS

This is a two day class that only covers the Series 9 portion (Options) of the exam. The Series 10 is to be self-studied. Class time is 8 am to 5 pm CT

March 21-22 July 11-12 Oct. 31-Nov 1

SERIES 24 General Securities Principal

\$550*

*Includes class tuition, textbook, and online testing bank

THIS IS A LIVE IN-PERSON CLASS

This is a two day class conducted from 8 am to 5 pm CT

Feb 7-8 April 4-5 June 6-7 Aug 8-9 Oct 3-4 Dec 19-20

SERIES 66 Investment Advisor Representative

\$435*

*Includes class tuition, textbook, and Smart 66 testing bank

TWO CHOICES

1. LIVE IN-PERSON CLASS

This is a two day class conducted from 8 am to 5 pm CT

Feb 4-5 March 28-29 April 22-23 May 23-24 July 8-9 Aug 15-16 Sept 26-27
Nov 25-26 Dec 16-17

2. LIVE VIRTUAL CLASS

This is a three day class conducted from 11 am to 3 pm CT. Please call for dates.